

法規名稱：EXCHANGE OF LETTERS REGARDING MEMORANDUM OF UNDERSTANDING SIGNED ON 5TH NOVEMBER 1997 BETWEEN THE COMMISSIONE NAZIONALE PER LE SOCIETA E LA BORSA IN ROME AND THE SECURITIES AND FUTURES COMMISSION IN TAIPEI

簽訂日期：民國 94 年 11 月 18 日

生效日期：民國 95 年 02 月 15 日

RE: Memorandum of Understanding signed on 5th November, 1997, between the Commissione Nazionale per le Societa e la Borsa in Rome and the Securities and Futures Commission in Taipei

Dear Mr Cardia,

I refer to your letter of November 18, 2005, in which you wrote as follows:

Dear Mr Jaw-Sheng Kong,

Considering that the Commissione Nazionale per le Societa e la Borsa, Consob, and the Securities and Futures Commission in Taipei signed on 5th November, 1997 a Memorandum of Understanding on the exchange of information and that the provisions of the said Memorandum of Understanding established a framework for the exchange of information between the two signatories aimed at assisting each other in securing compliance with laws and regulations of their respective jurisdictions and in facilitating the performance of the tasks they are entrusted with;

Considering that, on 1st July, 2004, the “Organic Act Governing the Establishment of the Financial Supervisory Commission, Executive Yuan”, established in Taipei the Financial Supervisory Commission, a consolidated supervisor for the banking, insurance, securities and futures sectors, which is now responsible for the tasks previously performed by the Securities and Futures Commission;

Considering that the confidentiality requirements applicable to the Financial Supervisory Commission are equivalent to those previously applicable to the Securities and Futures Commission;

Considering that on 21st October, 2004, you informed us that you considered the above mentioned Memorandum of Understanding still in effect;

By this letter I agree to apply to the Financial Supervisory Commission all the commitments provided for under the provisions of the above mentioned Memorandum of Understanding, limited to the securities and derivatives instruments sector, and its side letters formerly signed by the Securities and Futures Commission.

I would be grateful for a confirmation of the above commitment in writing and, upon receipt of your confirmation, the MoU dated 5th November, 1997, would enter into between us.

Best regards, Lamberto Cardia

By this letter I agree to apply to the Financial Supervisory Commission all the commitments provided for under the provisions of the above mentioned Memorandum of Understanding, limited to the securities and derivatives instruments sector, and its side letters formerly signed by the Securities and Futures Commission.

Therefore, the said Memorandum of Understanding signed on 5th November, 1997, between Consob and the Securities and Futures Commission in Taipei shall henceforth be valid between Consob and the Financial Supervisory Commission.

Best regards,

Jaw-Sheng Kong

Chairperson

Financial Supervisory Commission

Taiwan