

法規名稱：EXCHANGE OF LETTERS REGARDING MEMORANDUM OF UNDERSTANDING SIGNED ON 5TH NOVEMBER 1997 BETWEEN THE COMMISSIONE NAZIONALE PER LE SOCIETA E LA BORSA IN ROME AND THE SECURITIES AND FUTURES COMMISSION IN TAIPEI

簽訂日期：民國 94 年 11 月 18 日

生效日期：民國 95 年 02 月 15 日

RE: Memorandum of Understanding signed on 5th November, 1997,  
between the Commissione Nazionale per le Societa e la Borsa in  
Rome and the Securities and Futures Commission in Taipei

Dear Mr Cardia,

I refer to your letter of November 18, 2005, in which you wrote  
as follows:

Dear Mr Jaw-Sheng Kong,

Considering that the Commissione Nazionale per le Societa e la  
Borsa, Consob, and the Securities and Futures Commission in  
Taipei signed on 5th November, 1997 a Memorandum of  
Understanding on the exchange of information and that the  
provisions of the said Memorandum of Understanding established a  
framework for the exchange of information between the two  
signatories aimed at assisting each other in securing compliance  
with laws and regulations of their respective jurisdictions and  
in facilitating the performance of the tasks they are entrusted  
with;

Considering that, on 1st July, 2004, the “Organic Act Governing  
the Establishment of the Financial Supervisory Commission,  
Executive Yuan”, established in Taipei the Financial  
Supervisory Commission, a consolidated supervisor for the  
banking, insurance, securities and futures sectors, which is now  
responsible for the tasks previously performed by the Securities  
and Futures Commission;

Considering that the confidentiality requirements applicable to  
the Financial Supervisory Commission are equivalent to those  
previously applicable to the Securities and Futures Commission;

Considering that on 21st October, 2004, you informed us that you  
considered the above mentioned Memorandum of Understanding still  
in effect;

By this letter I agree to apply to the Financial Supervisory  
Commission all the commitments provided for under the provisions

of the above mentioned Memorandum of Understanding, limited to the securities and derivatives instruments sector, and its side letters formerly signed by the Securities and Futures Commission.

I would be grateful for a confirmation of the above commitment in writing and, upon receipt of your confirmation, the MoU dated 5th November, 1997, would enter into between us.

Best regards, Lamberto Cardia

By this letter I agree to apply to the Financial Supervisory Commission all the commitments provided for under the provisions of the above mentioned Memorandum of Understanding, limited to the securities and derivatives instruments sector, and its side letters formerly signed by the Securities and Futures Commission.

Therefore, the said Memorandum of Understanding signed on 5th November, 1997, between Consob and the Securities and Futures Commission in Taipei shall henceforth be valid between Consob and the Financial Supervisory Commission.

Best regards,

Jaw-Sheng Kong  
Chairperson  
Financial Supervisory Commission  
Taiwan