

法規名稱：MEMORANDUM OF UNDERSTANDING BETWEEN THE SECURITIES AND EXCHANGE COMMISSION IN TAIPEI AND THE MONETARY AUTHORITY OF SINGAPORE ON THE EXCHANGE OF INFORMATION CONCERNING COMMODITY FUTURES AND OPTIONS (AD.1993.02.08)

簽訂日期：民國 82 年 02 月 08 日

生效日期：民國 81 年 02 月 08 日

The cooperation to be implemented:under this arrangement provides for the exchange of information between the Securities and Exchange Commission in Taipei (SEC) and the Monetary Authority of Singapore (MAS). This arrangement is viewed by the respective parties as a definitive step towards greater cooperation between the parties concerned in the supervision of their respective futures and options markets. The information sharing shall be on an "as needed" basis and would cover transactions in the futures and options markets. Such information to be provided under this arrangement could include, but shall not be limited to, confirmation data, position data, firm-related data, and data related to sales practices of futures brokers licensed by one party selling into the other's market.

With the initiation of this arrangement, each party contemplates the communication of relevant information to the other with respect to quotation and trading halts and/or suspensions and resumption of trading in each market. It is also contemplated that the parties will cooperate in sharing regulatory information as needed for purposes of their surveillance and investigation responsibilities.

In furtherance of these cooperative regulatory efforts, the SEC and MAS contemplate the exploration of joint regulatory initiatives which may include the development of minimum standards applicable to international transactions in financial futures and options and appropriate procedures to ensure compliance with such standards by their respective market participants, and to ensure the joint development of their respective markets.

Each party acknowledges that it agrees, in the course of obtaining such information pursuant to this arrangement, that the inf-



ormation shall not be disclosed to any other person. However, nothing herein shall constrain either the SEC or MAS from using confidential information obtained from the other party, or from providing such information, upon request, to the appropriate governmental authority responsible for investigation and prosecution.

Signed at Singapore, this eighth day of February, 1993

For the Securities and Exchange
Commission in Taipei
[Signed]
Chang, Chang-Pang
Chairman

For the Monetary Authority of
Singapore
[Signed]
Koh Beng Seng
Deputy Managing Director